

Merchant Banking
Data for month ending October 2025

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	0	0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Main Board IPO**Data for month ending October 2025**

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 6 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Right Issue**Data for month ending October 2025**

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For QIPs

Data for month ending October 2025

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Pref. Issue**Data for month ending October 2025**

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For SME IPO & FPO

Data for month ending October 2025

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Buyback**Data for month ending October 2025**

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2024	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Delisting**Data for month ending October 2025**

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Takeover**Data for month ending October 2025**

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Public Issue of Debt Securities
Data for month ending October 2025

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Public Issue of Non Convertible Redeemable Preference Shares (NCRPS)**Data for month ending October 2025**

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.

For Private Placement (PP) of Debt Securities and Non Convertible Redeemable Preference Shares (NCRPS)

Data for month ending October 2025

Sr. No.	Received from	Pending at the end of last month	Received	Total Pending	Resolved*	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0	Not Applicable
2	SEBI (SCORES)	0	0	0	0	0	0	Not Applicable
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	Not Applicable
4	Other Sources (if any)	0	0	0	0	0	0	Not Applicable
	Grand Total	0	0	0	0	0	0	Not Applicable

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 7 months on rolling basis)

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April 2025	0	0	0	0
2	May 2025	0	0	0	0
3	June 2025	0	0	0	0
4	July 2025	0	0	0	0
5	August 2025	0	0	0	0
6	September 2025	0	0	0	0
7	October 2025	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints (For 6 years on rolling basis)-

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2019-20	0	0	0	0
2	2020-21	0	0	0	0
3	2021-22	0	0	0	0
4	2022-23	0	0	0	0
5	2023-24	0	0	0	0
6	2024-25	0	0	0	0
	Grand Total	0	0	0	0

*Inclusive of complaints of previous years resolved in the current year.

Inclusive of complaints pending as on the last day of the year.