PhillipCapital Equity Advisory

Disclaimer - Risk of loss in trading/investment can be substantial and even more than the amount / margin given by you. Investment in securities market are subject to market risks, you are requested to read all the related documents carefully before investing. You should carefully consider whether trading/investment is appropriate for you in light of your experience, objectives, financial resources and other relevant circumstances. PhillipCapital and any of its employees, directors, associates, group entities, or affiliates shall not be liable for losses, if any, incurred by you. You are further cautioned that trading/investments in financial markets are subject to market risks and are advised to seek independent third party trading/investment advice outside PhillipCapital/group/associates/affiliates/directors/employees before and during your trading/investment. There is no guarantee/assurance as to returns or profits or capital protection or appreciation. PhillipCapital and any of its employees, directors, associates, group entities, affiliates is not inducing you for trading/investing in the financial market(s). Trading/Investment decision is your sole responsibility.

Kindly note that past performance is not necessarily a guide to future performance.
For Detailed Disclaimer: Please visit our website www.phillipcapital.in

About PhillipCapital Group

We are an **integrated financial house** with a **global presence** using **information technology** and **distribution** as our core competencies in the provision of financial services.

PhillipCapital operates in the financial hub of **15 countries**.

Corporate Profile

- Established in **1975**
- Started operation as a financial services company, Phillip Securities, at the time of inception, PhillipCapital has an integrated financial house with a global presence that offers a full range of quality and innovative services to retail and high net worth individuals, family offices, corporate and institutional customers.
- Assets Under Custody/Management worldwide **USD 47 Billion**
- Shareholder's funds in excess of **USD 1.5 Billion**
- Over **1.3 Million clients** worldwide

Products & Services

Stockbroking

Futures,
Derivatives,
Commodities

Wealth Management
Unit Trust,
Insurance

Private Equity

Corporate Finance

Research

Debt Capital Market

Contracts for
Difference,
Exchange Traded
Funds

Investor Relations

Fund Management

Portfolio Management Services

Institutional Client Group

About PhillipCapital India

- **PhillipCapital India** is a part of the **PhillipCapital Group of Singapore.**

- The securities broking arm in India has been a dominant part of the Indian securities market place in the past decade. The team has experience across market / product segments and with the lineage of PhillipCapital Group, aims to provide efficient solutions to the Indian marketplace for all trading requirements.

- We have worked extensively with institutional clients as well as HNIs and retail clients across asset classes.

India - Local Business Structure

PhillipCapital India Group

Equity

I) Institutional Clients: FPI/DII
II) Private Clientele
- HNI, SMEs etc.
III) Sub Broker/AP

Commodity | **Currency** | **Interest Rate Futures**

I) Institutional Clients: FPI/DII, Corporates, Commercial Hedgers, Arbitrageurs
II) Retail Clientele - HNI, SMEs etc
III) Sub Broker/AP

Fixed Income

I) Clientele: FPI/DII, Corporate Treasuries, Banks, HNIs
II) Access to Primary and Secondary Market
III) Sub Broker/AP

Distribution

I) Mutual Funds
II) IPO
III) Fixed Deposit

Institutional Research Offering

Portfolio Management Services

NBFC: Margin Funding

Accounting, Taxation & Advisory Services

Access to Global Markets and Products / Asset Classes

PhillipCapital

Equity Advisory

PhillipCapital

Investment Advisory Services: Salient features of different products

Equity Advisory	IMPACT Multi-Cap	Oasis	Nautical	Cosmos
Customized Portfolio with 3 Plans: Constant, Flexi & Dynamic	Specially curated portfolio that invest in ideas of today and trends of tomorrow	Advice on a Pre build Model Portfolio, as per investor risk tolerance; Curated with a mix of diversified stocks along with weights		
Investor can customize allocation towards Large, Mid & Small Cap	Perfect blend of Large, Mid & Small Cap	Large Cap Oriented	Mid & Small Cap Oriented	Multi Cap, combination of Oasis & Nautical
Investor can customize indicative number of stocks. Adviced = 20	Around 15 - 20 stocks	Around 10 Stocks	Around 15 to 20 Stocks	Around 18 to 22 Stocks
Generally medium term	Long term approach	**Stock Holding period** is medium to long term		

PhillipCapital Equity Advisory

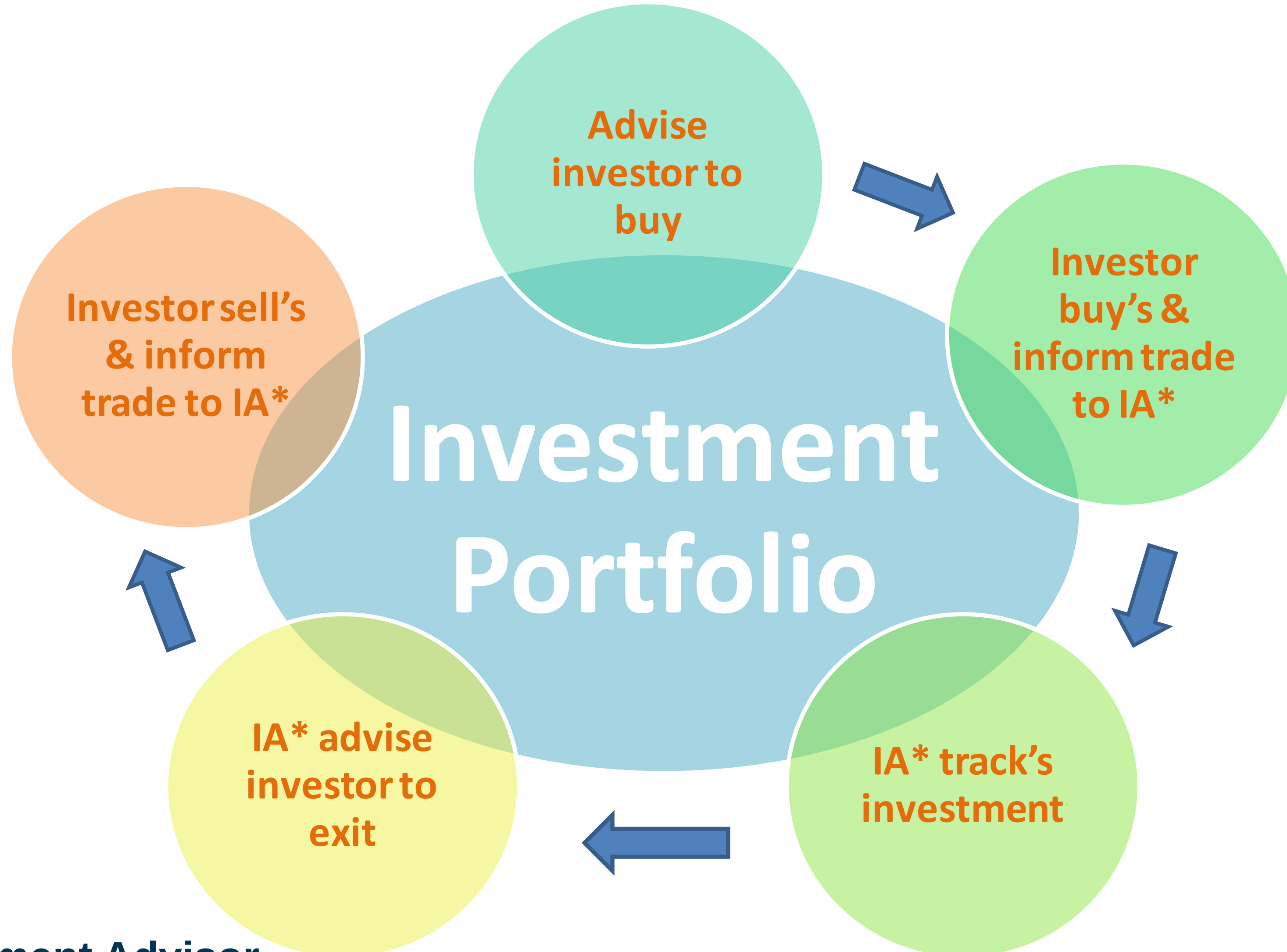
- Objective: To advise in a portfolio of stocks picked from a bouquet comprising Large Cap, Mid Cap and Small Cap Companies that are diversified across various sectors.

- Suitable for investors
  - ✓ Direct exposure to equities with the idea to advise for a long term portfolio
  - ✓ Flavor of Large (Michelin), Mid (Magnum) and Small (Marquee) Cap in 1 single account
  - ✓ 3 plans: Constant Plan (Default Plan), Flexi Plan and Dynamic Plan
  - ✓ Seeking to invest for more than 1 year
  - ✓ Seeking help to build and track a portfolio

- Key benefits to Investors
  - α Client wise & scrip wise analytics to enable focused decision making
  - α Client wise advise based on his or her risk profile
  - α Category wise advise across segments

- PhillipCapital utilizes fundamental research and data analysis to provide you with reliable investment opportunities

Process – As per Advisory criteria specified by investor



* IA: Investment Advisor

Salient Features (1 of 2)

Product	PhillipCapital Equity Advisory
Minimum Investment / Top Up	Rs 10 Lakhs / Multiples of Rs 1 Lakh
Allocations along with Benchmark	Michelin (Large Cap oriented) – Nifty 100 Magnum (Mid Cap Oriented) – Nifty Mid 100 Marquee (Small Cap Oriented) – Nifty Small 100
Permissible Allocation	Can be allocated between 0% to 100% with multiples of 5%
Select a Plan	**Constant Plan**: Constant Investment Amount **Flexi Plan**: Constant Exposure per Scrip **Dynamic Plan**: Daily Dynamic Exposure per scrip
Acceptable instruments	Liquid Assets or Portfolio of Stocks
Investment per stock	5% of the Investment Amount for 20 stock portfolio

Salient Features (2 of 2)

Product	PhillipCapital Equity Advisory
Segregation of Large, Mid and Small Cap	As per details in AMFI website
Buy advice per stock	E.g. 5% of the Amount for 20 (Default) stock portfolio preference given to allocation
Minimum liquidity for buy advise	[Ledger Balance + Liquid Bees] to be 2.5% of investment amount
Advise to invest	Yes, based on Fundamental Research report
Advise to exit	Yes, based on a Fundamental report or process driven approach
Demat Account	Dedicated demat account
Charges	Advisory Fees & other charges as applicable
Monthly Report	Yes
Preference to withdraw profits on yearly basis	Yes

Fundamental Research

PhillipCapital

- Approx 159 stocks under coverage

Sectors	
BANKING	CEMENT
NBFC	AUTOMOBILES
FMCG	PHARMACEUTICALS
IT SERVICES	LOGISTICS
INFRASTRUCTURE	SPECIALITY CHEMICALS
ENGINEERING	CAPITAL GOODS

Sample Month End Report

PhillipCapital

PhillipCapital Equity Advisory

Investment Objective

The Objective is to advise in a portfolio of stocks picked from a bouquet comprising Large Cap, Mid Cap and Small Cap Companies that are diversified across various sectors and segments. The approach is to advise not only to invest a fixed amount in these cherry picked ideas but also to exit thereby helping in building an investment portfolio.

Product Opportunity

The investment Portfolio tilts towards a combination of Large Cap, Mid Cap and Small cap companies as desired by you which basically aims at generating optimal risk adjusted returns.

Account Details

Name :	
Number :	

Corpus Details

Activation Date :	27 Jan 2020
Initial :	1,000,000
Additions :	800,000
Withdrawals :	0
Net :	1,800,000

Plan & Allocation

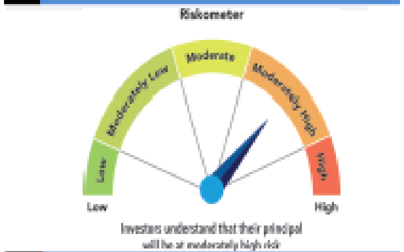
Plan:	Constant Plan
Michelin (Large Cap):	50%
Magnum (Mid Cap):	30%
Marquee (Small Cap):	20%

Performance

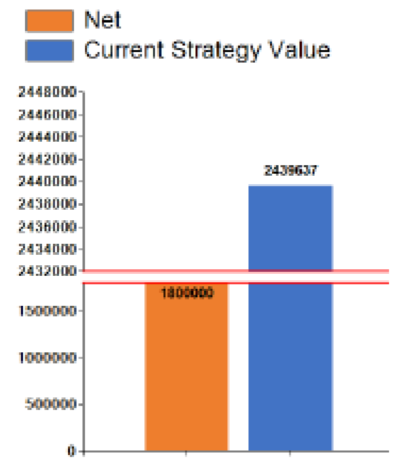
Report Date	30 Nov 2021
Portfolio Performance (%) TWRR*	56.84
Benchmark Performance (%) TWRR*	46.59
Valuation of Stocks	1,853,981
Value of Debt Instrument	397,473
Cash	157,171
Dividend	31,013
Current Strategy Value	2,439,637
Total Gain/Loss (Since Inception)	639,637
Benchmark :	Nifty 200
Re-invest Booked Profit :	No
Withdraw Booked Profit Yearly :	No

*Time-Weighted Rate of Return

Riskometer



Graph



Precis …

- PhillipCapital Equity Advisory
  - Engaging
  - Transparent
  - Structured Advisory
  - Focused Portfolio
  - Philosophy is to stay invested for long term and keep monitoring for exits

- Risk Factors
  - Equity Market Risk
  - Execution Risk
  - Yield curve spread Risk
  - Bank or Mutual Fund or Depository Default Risk
  - Exchange/Clearing Corporation Default Risk & other Risk (Black Swan event risk)

PhillipCapital (India) Pvt Ltd (Registration- Non -individual)
No 1, 18th Floor, Urmi estate, 95, Ganpatrao Kadam Marg,
Lower Parel (West) Mumbai, 400013

Principal Officer: Mr Kalpesh Vora
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Customer Care Contact: 022 – 24831848 / 1800 221 331

E-mail:
-Client account queries & information: contact@phillipcapital.in
-Compliance Officer/Client Grievances: customeraffairs@phillipcapital.in

SEBI Registration No: INA000004518 (Validity- Perpetual)

SEBI Regional/local office address:
Securities & Exchange Board of India
SEBI Bhavan Plot No. C 4-A, G Block,
Bandra Kurla Complex.
Bandra East, Mumbai- 400051

Talk to us. Talk to Phillip.

PhillipCapital

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SEBI Registered Investment Advisor; SEBI Registration No: INA000004518

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Tel No: + 91 11 46070929

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Let’s Begin

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