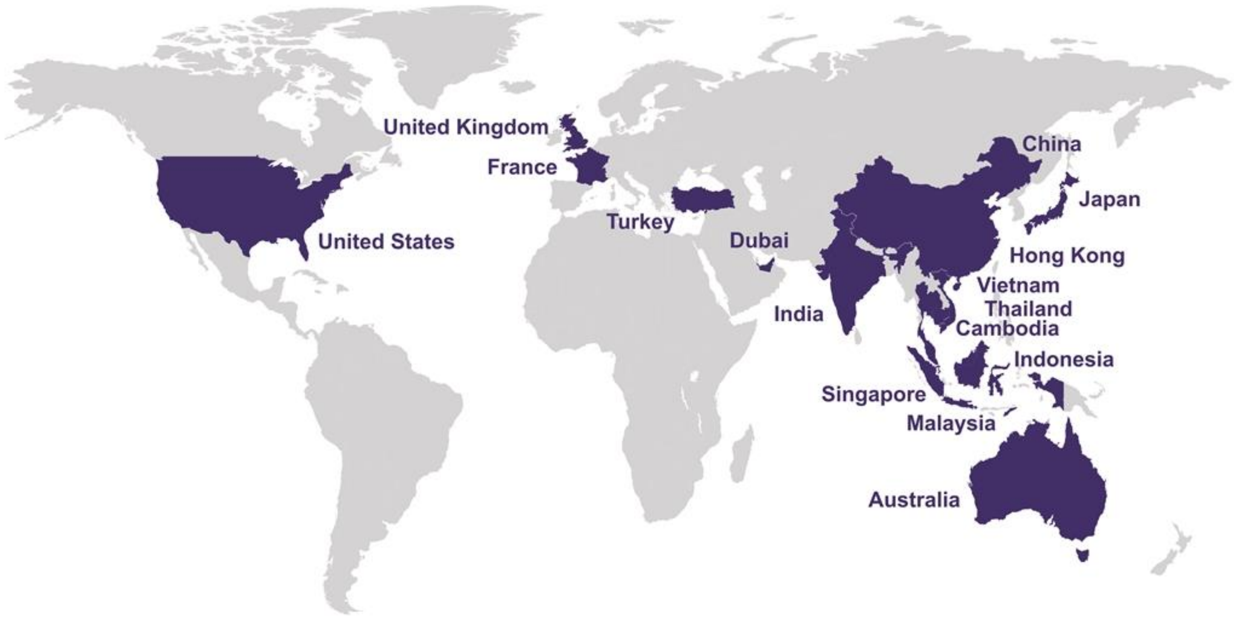
PhillipCapital

Oasis, Nautical & Cosmos Investment Advisory

About PhillipCapital Group

We are an **integrated financial house** with a **global presence** using **information technology** and **distribution** as our core competencies in the provision of financial services.



PhillipCapital operates in the financial hub of **15 countries**.

Corporate Profile

- Established in **1975**
- Started operation as a financial services company, Phillip Securities, at the time of inception, PhillipCapital has an integrated financial house with a global presence that offers a full range of quality and innovative services to retail and high net worth individuals, family offices, corporate and institutional customers.
- Assets Under Custody/Management worldwide **USD 47 Billion**
- Shareholder’s funds in excess of **USD 1.5 Billion**
- Over **1.3 Million clients** worldwide

Products & Services

Stockbroking

Futures, Derivatives, Commodities

Wealth Management Unit Trust, Insurance

Private Equity

Corporate Finance

Research

Debt Capital Market

Contracts for Difference, Exchange Traded Funds

Investor Relations

Fund Management

Portfolio Management Services

Institutional Client Group

About PhillipCapital India

- **PhillipCapital India** is a part of the **PhillipCapital Group of Singapore.**

- The securities broking arm in India has been a dominant part of the Indian securities market place in the past decade. The team has experience across market / product segments and with the lineage of PhillipCapital Group, aims to provide efficient solutions to the Indian marketplace for all trading requirements.

- We have worked extensively with institutional clients as well as HNIs and retail clients across asset classes.

India - Local Business Structure

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PhillipCapital India Group

Equity	Commodity / Currency / Interest Rate Futures	Fixed Income	Distribution
I) Institutional Clients: FPI/DII II) Private Clientele - HNI, SMEs etc. III) Sub Broker/AP	I) Institutional Clients: FPI/DII, Corporates, Commercial Hedgers, Arbitrageurs II) Retail Clientele - HNI, SMEs etc III) Sub Broker/AP	I) Clientele: FPI/DII, Corporate Treasuries, Banks, HNIs II) Access to Primary and Secondary Market III) Sub Broker/AP	I) Mutual Funds II) IPO III) Fixed Deposit

Institutional Research Offering

Portfolio Management Services

NBFC: Margin Funding

Accounting, Taxation & Advisory Services

Access to Global Markets and Products / Asset Classes

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OASIS, NAUTICAL & COSMOS

Investment Advisory

PhillipCapital Investment Advisory

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Investment Advisory Services: Salient features of different products				
Equity Advisory	**IMPACT Multi-Cap**	**Oasis**	**Nautical**	**Cosmos**
Customized Portfolio with 3 Plans: Constant, Flexi & Dynamic	Specially curated portfolio that invest in ideas of today and trends of tomorrow	Advice on a Pre build Model Portfolio, as per investor risk tolerance, curated with a mix of diversified stocks along with weights		
Investor can customize allocation towards Large, Mid & Small Cap	Perfect blend of Large, Mid & Small Cap	Large Cap Oriented	Mid & Small Cap Oriented	Multi Cap, combination of Oasis & Nautical
Investor can customize indicative number of stocks. Adviced = 20	Around 15 - 20 stocks	Around 10 Stocks	Around 15 to 20 Stocks	Around 18 to 22 Stocks
Generally medium term	Long term approach	**Stock Holding period** is medium to long term		

Oasis, Nautical & Cosmos Investment Advisory

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- **Oasis Investment Advisory** is a service to advice on primarily a Large Cap oriented portfolio of stocks. This model portfolio product helps investors in building and tracking the portfolio of stocks for medium to long term. A few other Caps may be adviced during the journey of the product.

- **Nautical Investment Advisory** is a service to advice on primarily a Mid & Small Cap oriented portfolio of stocks. This model portfolio product helps investors in building and tracking the portfolio of stocks for long term. A few large cap may also be adviced during the journey of the product.

- **Cosmos Investment Advisory** is a service to advice on a MultiCap model portfolio. This model portfolio product comprises of a Large Cap, Mid Cap and Small Cap stocks.

It is suitable for long term investors with Investment Horizon of 3 to 5 years.
This service is not suitable for investors evaluating on a Monthly or Quarterly basis.

PhillipCapital Oasis Investment Advisory

- **Objective**: To advice in a portfolio of around 10 companies which can help generate alpha with focus on long term capital appreciation. This is a portfolio carefully crafted with companies having higher Market Capitalization. These are stocks generally helps in relatively stable wealth creation and may payout steady dividends.
- Large Cap Oriented portfolio
- Suitable for Investors with lower risk tolerance
- Investment horizon: **minimum** 3 years
- Benchmark: Nifty 100
- Cherry picked 10 stocks. Liquid component may vary
- Minimum Investment Amount / Top Up: 10 Lakhs / 1 Lakhs
- Acceptable Instruments: Liquid Assets or Portfolio of stocks
- Dedicated demat account
- Charges: Advisory Fees & other charges as applicable
- Monthly report

PhillipCapital Nautical Investment Advisory

- **Objective**: To advice in a portfolio of Mid & Small Cap companies. This portfolio is more long term in nature and swings may be an integral part of journey of the investment.
- Mid & Small Cap Oriented portfolio
- Suitable for Investors with higher risk tolerance
- Investment horizon: **minimum** 3 years
- Benchmark: Nifty MidSmall400
- Cherry picked 15 to 20 stocks. Liquid component may vary
- Minimum Investment Amount / Top Up: 10 Lakhs / 1 Lakhs
- Acceptable Instruments: Liquid Assets or Portfolio of stocks
- Dedicated demat account
- Charges: Advisory Fees & other charges as applicable
- Monthly report

PhillipCapital Cosmos Investment Advisory

- **Objective**: To advice in a portfolio of around 18 to 22 companies which can help generate alpha with focus on long term capital appreciation. This is a portfolio carefully crafted with companies from Large Cap, Mid Cap and Small Cap universe henceforth enabling stability along with growth potential.
- Multi-Cap portfolio
- Suitable for Investors with medium risk tolerance
- Investment horizon: **minimum** 3 years
- Benchmark: Nifty 500
- Cherry picked 18 to 22 stocks. Liquid component may vary
- Minimum Investment Amount / Top Up: 10 Lakhs / 1 Lakhs
- Acceptable Instruments: Liquid Assets or Portfolio of stocks
- Dedicated demat account
- Charges: Advisory Fees & other charges as applicable
- Monthly report

Why Oasis, Nautical & Cosmos

- Well Crafted Portfolios
- Flexibility to choose
- Ease of Investment
- Tracking & Evaluation process

Stock selection – Oasis, Nautical & Cosmos

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- Oasis: Advising on well established companies with continued earnings prospects
- Nautical: Advising on stocks with better business model with better valuations and good growth prospects at better discounts
- Diversified Portfolio wherein maximum sector limit of 30%
- Mid & Small Cap check based on 30 days average traded value
- Stock selection is from both NSE and BSE Stocks
- Scan for restricted list
- Generally range of Cash may generally vary between 0 to 20%

Get Started

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- **PhillipCapital Oasis, Nautical & Cosmos Investment Advisory**
  - Risk Profiling Questionnaire: Account is accepted with score > 12
  - Initial Corpus: Minimum Capital of Rs 10 Lakh – Cash or Stock
  - Account Opening process

	Investment Advisory (Part A)	Stock Broking (Part B)	Demat (Part C)
New Client	Yes	Yes	Yes
Existing Client	Yes	No (Only Control cum Tariff Sheet)	Yes

Mode of Payment	By Fund transfer / Cheque
Account Activation	3 to 4 business working days
Operations	Equity or units to be held in a DP account opened in investor name
Reporting	Monthly Performance Report Transaction, Holding & Corporate Action Report

Precis …

- Oasis, Nautical & Cosmos Investment Advisory
  - Model Portfolio along with weights
  - Focused portfolio where liquid component may vary
  - Structured Advisory
  - Philosophy is to stay invested for medium to long term

- Risk Factors
  - Equity Market Risk
  - Execution Risk
  - Exchange/Clearing Corporation / Depository Default risk
  - Other risks (Black Swan event risk)

PhillipCapital (India) Pvt Ltd (Registration- Non -individual)
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Customer Care Contact: 022 – 24831848 / 1800 221 331

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-Client account queries & information: contact@phillipcapital.in
-Compliance Officer/Client Grievances: customeraffairs@phillipcapital.in

SEBI Registration No: INA000004518 (Validity- Perpetual)

SEBI Regional/local office address:
Securities & Exchange Board of India
SEBI Bhavan Plot No. C 4-A, G Block,
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Bandra East, Mumbai- 400051

Talk to us. Talk to Phillip.

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Let’s Begin

Disclaimer - Risk of loss in trading/investment can be substantial and even more than the amount / margin given by you. Investment in securities market are subject to market risks, you are requested to read all the related documents carefully before investing. You should carefully consider whether trading/investment is appropriate for you in light of your experience, objectives, financial resources and other relevant circumstances. PhillipCapital and any of its employees, directors, associates, group entities, or affiliates shall not be liable for losses, if any, incurred by you. You are further cautioned that trading/investments in financial markets are subject to market risks and are advised to seek independent third party trading/investment advice outside PhillipCapital/group/associates/affiliates/directors/employees before and during your trading/investment. There is no guarantee/assurance as to returns or profits or capital protection or appreciation. PhillipCapital and any of its employees, directors, associates, group entities, affiliates is not inducing you for trading/investing in the financial market(s). Trading/Investment decision is your sole responsibility.

Kindly note that past performance is not necessarily a guide to future performance.
For Detailed Disclaimer: Please visit our website www.phillipcapital.in